

投資信託の信認のための行動憲章

Code of Conduct for Confidence in Investment Trusts

I. 誠実・公正

投資信託委託会社は、投資者の最善の利益および市場の発展・健全性を図るべく、誠実かつ公正に行動いたします。

I. Integrity and Fairness

The investment trust management company acts with integrity and fairness in order to ensure the best interests of investors and the development and soundness of the markets.

II. 専門的能力と注意義務

投資信託委託会社は、高い専門的知見を有した人材の確保と、その能力の維持・向上に努め、業務の遂行にあたり、専門的能力と相当の注意をもって行動いたします。

II. Professional Skills and Duty of Care

The investment trust management company makes efforts to secure human resources which have a high professional knowledge, maintain and improve their skills, and acts with professional skills and due care in performing the businesses.

III. 情報開示

投資信託委託会社は、投資信託の透明性を確保し、投資者の投資判断に資するべく、適切

な情報開示を行います。

III. Information Disclosure

The investment trust management company ensures the transparency of investment trusts and discloses information adequately in order to contribute to the investment decision of investors.

IV. 独立性

投資信託委託会社は、自らの専門的な判断に立脚した独立の立場で、投資者ニーズに則した投資信託商品の提供と運用を行います。

IV. Independence

The investment trust management company provides and manages investment trust products which respond to the investors' needs from an independent standpoint based on its own professional decisions.

V. 利益相反

投資信託委託会社は、潜在的あるいは明白な利益相反を特定し、適切に開示するなど、公正かつ効果的に、それらを管理いたします。

V. Conflicts of Interest

The investment trust management company specifies potential or apparent conflicts of interest, and manages them fairly and effectively by means of disclosing them adequately and so on.

VI. 効率性

投資信託委託会社は、投資者の利益を優先し、常に効率的な運営に努めるとともに、市場の動向や運用の状況に鑑み、投資者の利益に資する方策があると考えられる場合には、その可能性について能動的に検討し、適切に対応いたします。

VI. Efficiency

The investment trust management company puts the interests of investors first and seeks at all times an efficient business operation, and when it deems that there is a measure which may contribute to the interests of investors by considering the condition of markets or state of the management, the investment trust management company explores the possibility of the measure proactively and acts appropriately.

VII. 投資先企業との関係

投資信託委託会社は、投資先企業の企業価値向上に向け、建設的な対話の推進に努めるとともに、適切な議決権行使とその結果の公表を行います。

VII. Engagement to Investee Companies

The investment trust management company makes efforts to promote constructive dialogues with the investee companies to enhance their corporate value, and exercises of its voting rights and discloses the results adequately.

VIII. リスク管理

投資信託委託会社は、効率的なリスク管理が確保されるよう、リスク管理プロセスの策定と効果の検証及び見直しに努めます。

VIII. Risk Management

In order to ensure an efficient risk management, the investment trust management company makes efforts to formulate the risk management process, verify its effectiveness and review it.

IX. 法令諸規則等の遵守

投資信託委託会社は、その業務に適用されるすべての法令諸規則及び投資信託約款を遵守いたします。

IX. Compliance with Laws and Regulations

The investment trust management company complies with all laws and regulations applicable to its business and with the Basic Terms and Conditions of Investment Trusts.

X. ガバナンス

投資信託委託会社は、上記を遂行するため、必要に応じ外部の意見を求めるなどの取組みを通じ、自社の適切なガバナンス態勢を確保いたします。

X. Governance

The investment trust management company ensures its appropriate governance structure through the efforts such as seeking external opinions when it deems necessary in order to bring the contents of above items into action.